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FROM: Julie Bowker

DATE: August 22, 2001

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Examiner Pensee Do (Art Unit 1641)  
US PTO

(703) 308-4242

Re: U.S. Serial No. 09/688,572 (Continuation of U.S. Serial No. 09/171,550)

Preliminary Amendment

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: SEUL, Michael

Serial No.: 09/688,572

Filed: October 17, 2000

For: **LIGHT-CONTROLLED ELECTROKINETIC ASSEMBLY OF  
PARTICLES NEAR SURFACES**

Examiner: P. Do (Group Art Unit 1641)

**PRELIMINARY AMENDMENT**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as follows:

**IN THE CLAIMS :**

✓ Please cancel claims 1 to 14, without prejudice.

Please add new claims 15 to 39 as follows:

- A<sub>1</sub> ✓ 15. (New) A method of forming a planar assembly of particles in a designated area on a substrate, said method comprising:
- providing a first electrode positioned in a first plane, and a second electrode positioned in a second plane different from the first plane, an electrolyte solution located therebetween and a plurality of particles therein, wherein the second electrode comprises a planar

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this Preliminary Amendment is being facsimile transmitted to facsimile no. (703) 308-4242 to the Assistant Commissioner for Patents on the date shown belowBy Julie Bowker Date: August 22, 2001FAX RECEIVED  
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